# Jackson-Type Theorems for Approximation with Hermite-Birkhoff Interpolatory Side Conditions 

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## 1. Introduction

In this paper we obtain estimates for the cost of Hermite-Birkhoff interpolatory side conditions, placed on uniform approximation by trigonometric polynomials.

Given a positive integer $\kappa$; a finite set $t_{1}, \ldots, t_{\gamma}$ of distinct points in $-\pi \leqslant t<\pi$; for each $i=1, \ldots, \gamma$, a nonempty subset $K_{i}$ of the set $\{0,1, \ldots, \kappa\}$; and $f \in C^{* \kappa}[-\pi, \pi]$, define the set of $\kappa$ times continuously differentiable $2 \pi$ periodic functions; the set $A_{\kappa}=\left\{g \in C^{* \kappa}[-\pi, \pi]: g^{(j)}\left(t_{i}\right)=f^{(j)}\left(t_{i}\right)\right.$; $\left.j \in K_{i} ; i=1, \ldots, \gamma\right\}$. Let $N_{v}$ be the space of trigonometric polynomials of degree not exceeding $\nu$. For each $\nu=0,1,2,3, \ldots$, define

$$
\begin{equation*}
E_{\nu}(f)=\inf _{g \in N_{v}}\|f-g\|, \tag{1.1}
\end{equation*}
$$

where

$$
\begin{equation*}
\|f-g\|=\sup _{-\pi \leqslant t \leqslant \pi}|f(t)-g(t)| . \tag{1.2}
\end{equation*}
$$

Similarly define $e_{\nu}(f)$ as the infimum of (1.2) over those $g$ in $N_{\nu}$ with constant part zero; and if $N_{\nu} \cap A_{\kappa}$ is nonempty, $E_{\nu}\left(f, A_{\kappa}\right)$ as the infimum of (1.2) over $g$ in $N_{\nu} \cap A_{\kappa}$.

We show that $E_{\nu}\left(f, A_{\kappa}\right)$ satisfies an estimate of the Jackson type appropriate for $\kappa$ times continuously differentiable functions. That is, $E_{\nu}\left(f, A_{\kappa}\right)=$ $O\left(e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa}\right)$. Comparing this estimate of $E_{\nu}\left(f, A_{\kappa}\right)$ with $E_{\nu}(f)$ we show that for all $f \in C^{* \kappa}[-\pi, \pi]$

$$
e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa}=O\left(E_{\nu}(f)^{1-\epsilon}\right), \quad \text { for all } \quad \epsilon>0
$$

On the other hand, given any sequence of positive numbers $\left\{h_{\nu}\right\}_{\nu=1}^{\infty}$, increasing without bound, and an $A_{\kappa}$ including at least one derivative constraint, we can construct an $f \in C^{* \kappa}[-\pi, \pi]$ such that

$$
\lim _{\nu \rightarrow \infty} \sup E_{\nu}\left(f, A_{\kappa}\right) / h_{\nu} E_{\nu}(f) \geqslant 1 .
$$

## 2. The Man Theorea

Theorem 2.1. For each $\kappa \quad 1,2,3, \ldots$ there exists an $M_{\kappa}>0$, and for each set of side conditions $A_{\kappa}, a \nu_{1}=\nu_{1}\left(\kappa, t_{1}, \ldots, t_{\gamma}\right)$, not depending on $f$, such that for any $f \in C^{* \kappa}[-\pi, \pi], E_{\nu}\left(f, A_{\kappa}\right)$ exists and satisfies

$$
E_{v}\left(f, A_{\kappa}\right) \leqslant M_{\kappa} e_{v}\left(f^{(\kappa)}\right) / \nu^{\kappa}
$$

for all $\nu$ greater than $\nu_{1}$.
Proof. We need the following version of one of the standard Jackson theorems. (For the standard theorem, see for example, Cheney [2, pp. 145-146].)

Lemma 2.2. For all positive integers $\kappa$, there exists a positive constant $C_{\kappa}$, and for any $f \in C^{* \kappa}[-\pi, \pi]$, a sequence of trigonometric polynomials $\left\{T_{v}: T_{v} \in N_{v}\right\}$ such that

$$
\left\|\left(f-T_{\nu}\right)^{(j)}\right\| \leqslant C_{\kappa}\left(1 / \nu^{\kappa-j}\right) e_{\nu}\left(f^{(\kappa)}\right) ; \quad j=0,1, \ldots, \kappa ; \quad v==1,2,3, \ldots
$$

Proof. Let $j_{\nu}$ be the Jackson kernel normalized so that

$$
\begin{equation*}
\int_{-\pi}^{\pi} j_{v}(t) d t=1 \tag{2.1}
\end{equation*}
$$

Write

$$
J_{\nu}(f, x)=\int_{-\pi}^{\pi} f(x+t) j_{\nu}(t) d t
$$

It is well known that there exists an $M>0$ such that

$$
\begin{equation*}
\left\|f-J_{\nu}(f)\right\| \leqslant(M / \nu)\left\|f^{(1)}\right\| \tag{2.2}
\end{equation*}
$$

for all $f \in C^{* 1}[-\pi, \pi]$. The proof now proceeds by induction.
Induction basis. Let $t_{\nu}$ be the best approximation to $f^{(\kappa)}$ from $N_{\nu}$, with constant part zero. Let $P(g), g \in C^{*}[\cdots \pi, \pi]$, be the indefinite integral of $g$ such that $\int_{-\pi}^{\pi} P(g)=0$. Let $P^{\kappa}$ be the $\kappa$-wise composition of operators $P$, and $S_{\nu}=P^{\kappa}\left(t_{v}\right)$. Then

$$
\left\|f^{(\kappa)}-S_{v}^{(\kappa)}\right\|=e_{\nu}\left(f^{(\kappa)}\right), \quad v=1,2,3, \ldots
$$

Induction step. If for some $m=0,1, \ldots, \kappa-1$ and some $C_{m}>0$, there exists a sequence of trigonometric polynomials $\left\{S_{\nu}: S_{\nu} \in N_{\nu}\right\}$ such that

$$
\left\|\left(f-S_{\nu}\right)^{(\kappa-j)}\right\| \leqslant C_{m} \nu^{-j} e_{\nu}\left(f^{(\kappa)}\right) ; \quad j=0, \ldots, m ; \quad \nu=1,2,3, \ldots ;
$$

then there exists $C_{m+1} \leqslant C_{m}(M+2)$ such that

$$
\left\|\left(f-S_{v}-J_{\nu}\left(f-S_{v}\right)\right)^{(\kappa-j)}\right\| \leqslant C_{m+1} \nu^{-j} e_{\nu}\left(f^{(\kappa)}\right) ; \quad \begin{aligned}
& j=0, \ldots, m+1 \\
& \\
& v=1,2,3, \ldots
\end{aligned}
$$

Proof. Use the identity

$$
J_{v}^{(\kappa-j)}\left(f-S_{v}\right)=J_{\nu}\left(\left(f-S_{v}\right)^{(\kappa-j)}\right)
$$

Now the induction step for $j=m+1$ follows from the Jackson theorem (2.2); and that for $j=0, \ldots, m$ is a consequence of $\left\|J_{\nu}\right\|=1$.

Proof of Theorem 2.1. Let $T$ be the unit circle. Let $f ; t_{i}, i=1, \ldots, \gamma$; $K_{i}, i=1, \ldots, \gamma$ satisfy the conditions of Theorem 2.1 ; and let $\left\{T_{\nu}\right\}$ be a sequence of trigonometric polynomials providing the estimate of Lemma 2.2.

By the Hausdorff property of $T$ we can find disjoint open sets $B_{1}, \ldots, B_{\gamma}$ in $T$ containing $t_{1}, \ldots, t_{\gamma}$, respectively. Urysohn's theorem now guarantees the existence of functions $f_{j} \in C(T), j=1, \ldots, \gamma$, such that

$$
\begin{aligned}
f_{j}\left(t_{j}\right)=1, & \\
0 \leqslant f_{j}(t) \leqslant 1, & t \in B_{j}, \\
f_{j}(t)=0, & t \in T \backslash B_{j} .
\end{aligned}
$$

By the SAIN property of trigonometric approximation in conjunction with point evaluations, Deutsch and Morris [3; Theorem 4.1], there exists a $\nu_{2}$ such that for $\nu \geqslant \nu_{2}$ there exist approximations $q_{v j}$ from $N_{v}$ to the $f_{j}$ satisfying

$$
\begin{aligned}
& \quad\left\|q_{v j}\right\|=1, \\
& q_{v j}\left(t_{i}\right)=f_{j}\left(t_{i}\right), \quad i=1, \ldots, \gamma ; \quad j=1, \ldots, \gamma,
\end{aligned}
$$

and if $\delta_{v}=\max _{j=1, \ldots, \nu}\left\|q_{v j}-f_{j}\right\|$, then

$$
\begin{equation*}
\lim _{\nu \rightarrow \infty} \delta_{v}=0 \tag{2.3}
\end{equation*}
$$

Let $\lambda=[\nu /(\kappa+1)], \lambda_{1}=[\lambda /(\kappa+1)]$, where [ $\left.\cdot\right]$ is the integral part function, and let $\nu_{3}$ be so large that $\lambda_{1} \geqslant \max \left(\nu_{2}, 1\right)$. Suppose throughout the following that $\nu \geqslant \nu_{3}$. Note

$$
\begin{equation*}
\lambda^{j} \leqslant \nu^{j} \leqslant(2(\kappa+1) \lambda)^{j}, \quad j=1, \ldots, \kappa \tag{2.4}
\end{equation*}
$$

Take

$$
h_{i j}=\left(q_{\lambda_{1}, i}\right)^{\kappa+1}\left(\sin \lambda\left(t-t_{i}\right)\right)^{j}, \quad j=0, \ldots, \kappa ; i=1, \ldots, \gamma
$$

Then

$$
\begin{align*}
&\left\|h_{i j}\right\| \leqslant 1  \tag{2.5}\\
& h_{i j}^{(r)}\left(t_{e}\right)=0 ; r=0, \ldots, \kappa ; e \neq i  \tag{2.6}\\
& h_{i j}^{(r)}\left(t_{i}\right)=0, r<j \tag{2.7}
\end{align*}
$$

and

$$
\begin{equation*}
h_{i, j}^{(j)}\left(t_{i}\right)=j!\lambda^{j} \tag{2.8}
\end{equation*}
$$

Also by the Bernstein inequality, (2.5), and (2.4)

$$
\begin{equation*}
\left\|h_{i j}^{(k)}\right\| \leqslant v^{k} \leqslant(2(\kappa+1) \lambda)^{k}, \quad k=1,2, \ldots \tag{2.9}
\end{equation*}
$$

Now fix $i$. Let $j_{1}, \ldots, j_{p}$ be the members of $K_{i}$ in ascending order. We seek a linear combination of $h_{i 0}, \ldots, h_{i \kappa}$ which will correct the values of $T_{\nu}^{(j)}\left(t_{i}\right)$, $j \in K_{i}$ to the $f^{(j)}\left(t_{i}\right)$. From (2.7), we seek a solution $\mathbf{b}$ to the equation

$$
\left[\begin{array}{cccc}
h_{i j_{1}}^{\left(j_{j}\right)}\left(t_{i}\right) & 0 & \cdots & 0  \tag{2.10}\\
\vdots & & & \vdots \\
h_{i j_{1}}^{\left(j_{j}\right)}\left(t_{i}\right) & \cdots & h_{i j_{p}}^{\left(j_{j}\right)}\left(t_{i}\right)
\end{array}\right]\left[\begin{array}{c}
b_{j_{1}} \\
\\
b_{j_{p}}
\end{array}\right]=\left[\begin{array}{c}
\left(f-T_{\nu}\right)^{\left(j_{1}\right)}\left(t_{i}\right) \\
\left(f-T_{\nu}\right)^{\left(j_{p}\right)}\left(t_{i}\right)
\end{array}\right]
$$

Dividing the $k$ th row of the matrix above, and the $k$ th element of the product vector by $j_{k}!\lambda^{j k}$; and using (2.8) the equation may be written

$$
\left[\begin{array}{cccc}
1 & & &  \tag{2.11}\\
a_{21} & 1 & & \\
a_{p^{1}} & & a_{p, p-1} & 1
\end{array}\right]\left[\begin{array}{l}
b_{j_{1}} \\
b_{j_{p}}
\end{array}\right]=\left[\begin{array}{c}
c_{j_{1}} \\
\\
c_{j_{p}}
\end{array}\right] .
$$

Since the matrix $A=\left(a_{k e}\right)$ above is lower triangular and has determinant 1 a solution exists. By (2.9) there exists an $M$, depending only on $\kappa$ such that

$$
\left|a_{k e}\right| \leqslant M, \quad k=1, \ldots, p, \quad e=1, \ldots, p
$$

By Lemma 2.2 there exists an $L$ depending only on $\kappa$ such that

$$
\left|c_{j_{k}}\right| \leqslant L e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa}, \quad k=1, \ldots, p
$$

Employing Cramer's rule,

$$
\begin{equation*}
\left|b_{j_{k}}\right| \leqslant(\kappa+1)!M^{\kappa} L e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa} ; \quad k=1, \ldots, p \tag{2.12}
\end{equation*}
$$

Writing $H_{i}=\sum_{k=1}^{p} b_{j_{k}} h_{i, j_{k}}$, and using (2.12),

$$
\begin{align*}
\left|H_{i}(t)\right| & \leqslant D_{\kappa} e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa}, & & t \in B_{i}  \tag{2.13}\\
& \leqslant D_{\kappa} \delta_{\lambda_{2}} e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa}, & & t \in T \backslash B_{i}
\end{align*}
$$

where

$$
D_{\kappa}=(\kappa+1)!(\kappa+1) M^{\kappa} L
$$

The analysis above holds for $i=1, \ldots, \gamma$. Also since by (2.6)

$$
H_{i}^{(r)}\left(x_{e}\right)=0, \quad e \neq i, \quad r=0, \ldots, \kappa
$$

we can find $H_{1}, \ldots, H_{\gamma}$ separately, by the above, and

$$
H=T_{\nu}+\sum_{i=1}^{\nu} H_{i}
$$

will belong to $A_{\kappa}$, the set of functions satisfying the interpolatory side conditions. It remains to estimate $\|f-H\|$; using (2.13) we find

$$
\begin{array}{rlr}
|(f-H)|(t) \leqslant & \left|f-T_{\nu}\right|(t)+\left|\sum_{i=1}^{\nu} H_{i}\right|(t) & \\
& C_{\kappa} \frac{e_{\nu}\left(f^{(\kappa)}\right)}{\nu^{\kappa}}+D_{\kappa} \frac{e_{\nu}\left(f^{(\kappa)}\right)}{\nu^{\kappa}}\left(1+(\gamma-1) \delta_{\lambda_{1}}\right) ; & t \in \bigcup_{i=1}^{\nu} B_{i}, \\
\leqslant & C_{\kappa} \frac{e_{\nu}\left(f^{(\kappa)}\right)}{\nu^{\kappa}}+\gamma \delta_{\lambda_{1}} D_{\kappa} \frac{e_{\nu}\left(f^{(\kappa)}\right)}{\nu^{\kappa}} ; & t \in T \bigcup_{i=1}^{\nu} B_{i} .
\end{array}
$$

Thus

$$
\|f-H\| \leqslant\left(C_{\kappa}+2 D_{\kappa}\right)\left(e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa}\right), \quad \nu \geqslant \nu_{1}
$$

where $\nu_{1} \geqslant \nu_{3}$ is chosen so that $\delta_{\lambda_{1}} \leqslant 1 / \gamma, \nu \geqslant \nu_{1}$. This concludes the proof.
Consider now the approximation of $f \in C^{\kappa}[-1,1]$ by algebraic polynomials satisfying Hermite-Birkhoff interpolatory side conditions. Redefining $A_{\kappa}$, $E_{\nu}(f)$, and $E_{\nu}\left(f, A_{\kappa}\right)$ appropriately, Platte [5, Theorem 2.3.1] has shown

Theorem 2.3. If $f \in C^{\kappa}[-1,1]$ then there exists a constant $C$, independent of $\nu$, such that

$$
E_{\nu}\left(f, A_{k}\right) \leqslant C E_{\nu-k}\left(f^{(\kappa)}\right)
$$

The following corollary to Theorem 2.1 dramatically improves this estimate in many cases. In fact, letting $g(\theta)=f(\cos \theta)$ and using the fact that the error in approximating $g$ by trigonometric polynomials of degree not exceeding $\nu$ equals the error in approximating $f$ by algebraic polynomials of degree not exceeding $\nu$, the corollary and Lemma 3.1 show

$$
E_{\nu}\left(f, A_{\kappa}\right)=O\left(E_{\nu}(f)^{1-\epsilon}\right) \quad \text { for all } \quad \epsilon>0
$$

whenever the corollary applies.
Corollary 2.4. For each $\kappa=1,2,3, \ldots$, there exists an $M_{\kappa}>0$; and for
each set of side conditions $A_{\kappa}$, provided that $-1<t_{i}<1 ; i: 1, \ldots, \gamma: a \nu_{1}$, not depending on $f$, such that for any $f \in C^{\kappa}[-1,1], E_{\nu}\left(f, A_{\kappa}\right)$ exists and satisfies

$$
E_{v}\left(f, A_{\kappa}\right) \leqslant M_{k} e_{v}\left(g^{(\kappa)}\right) / v^{\kappa}
$$

for all $\nu$ greater than $\nu_{1}$, where $g \in C^{* \kappa}[-\pi, \pi]$ is defined by $g(\theta)=f(\cos \theta)$.
Proof. Writing $g(\theta), g^{(1)}(\theta), \ldots, g^{(\kappa)}(\theta)$ in terms of $f(x), \ldots,\left(d^{\kappa} / d x^{\kappa}\right) f(x)$

$$
\left[\begin{array}{c}
g(\theta) \\
g^{(1)}(\theta) \\
\\
g^{(\kappa)}(\theta)
\end{array}\right]=\left[\begin{array}{ccccc}
1 & 0 & \cdot & \cdots & 0 \\
& -\sin \theta & & & \vdots \\
& & \sin ^{2} \theta & & 0 \\
& & & & \vdots \\
& & & & (-\sin \theta)^{\kappa}
\end{array}\right]\left[\begin{array}{c}
f(x) \\
(d f / d x)(x) \\
\vdots \\
\left(d^{\kappa} f / d x^{\kappa}\right)(x)
\end{array}\right]
$$

we see that the matrix involved is invertible, $x \neq \pm 1$, and therefore $g(\theta)$, $g^{(1)}(\theta), \ldots, g^{(\kappa)}(\theta)$ are uniquely determined by $f(x), \ldots,\left(d^{\kappa} f / d x^{\kappa}\right)(x), x \neq \pm 1$, and vice versa. Thus to the algebraic interpolation conditions correspond trigonometric interpolation conditions of the same order $\kappa$. To each node $t_{i}$ of the algebraic problem, there correspond two nodes $\theta_{2 i-1}, \theta_{2 i}$ of the trigonometric where

$$
\begin{equation*}
0<\theta_{2 i-1}<\pi \quad \text { and } \quad \theta_{2 i}==-\theta_{2 i-1} . \tag{2.14}
\end{equation*}
$$

We apply Theorem 2.1 and find a $\nu_{1}$ and a sequence $\left\{T_{\nu\}_{\nu=\nu_{1}}}^{\infty}\right.$ satisfying the trigonometric interpolation conditions, such that

$$
\begin{equation*}
\left\|g-T_{\nu}\right\| \leqslant\left(M_{\kappa} / \nu^{\kappa}\right) e_{\nu}\left(g^{(\kappa)}\right) \tag{2.15}
\end{equation*}
$$

Since the interpolation conditions occur in pairs (see (2.14)) the even functions $\widetilde{T}_{\nu}$ given by $\widetilde{T}_{\nu}(\theta)=\left(T_{\nu}(\theta)+T_{\nu}(-\theta)\right) / 2$ satisfy them also. Since $g$ is even (2.15) implies

$$
\begin{equation*}
\left\|g-\widetilde{T}_{\nu}\right\| \leqslant\left(M_{\kappa} / \nu^{\kappa}\right) e_{\nu}\left(g^{(\kappa)}\right) \tag{2.16}
\end{equation*}
$$

Let $p_{v}(x)=\tilde{T}_{v}\left(\cos ^{-1} x\right)$. As discussed previously $p_{r}$ satisfies the interpolation conditions of the algebraic problem. Also (2.16)

$$
\| f-p_{v} \mid=g\left(\cos ^{-1} x\right)-\tilde{T}_{v}\left(\cos ^{-1} x\right) \leqslant\left(M_{\kappa} / v^{\kappa}\right) e_{\nu}\left(g^{(\kappa)}\right)
$$

Since $\widetilde{T}_{v}$ is an even trigonometric polynomial of degree not exceeding $\nu$, $p_{\nu}(x)$ is an algebraic polynomial of degree not exceeding $\nu$. This concludes the proof.
3. Comparison of $E_{\nu}(f)$ and $E_{\nu}\left(f, A_{\kappa}\right)$

The question of a direct comparison of $E_{\nu}(f)$ and $E_{\nu}\left(f, A_{\kappa}\right)$, as opposed to a comparison of $e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa}$ and $E_{\nu}\left(f, A_{\kappa}\right)$ remains. Below we show results in two opposing directions.

Lemma 3.1. If $f \in C^{* \kappa}[-\pi, \pi], \kappa \geqslant 1$, then for all $\epsilon>0$

$$
e_{\nu}\left(f^{(\kappa)}\right) / \nu^{\kappa}=O\left(E_{\nu}(f)^{1-\epsilon}\right) .
$$

Proof. Either $f$ has only a finite number, $k$, of continuous derivatives or $f$ has an infinite number of continuous derivatives.

In the first case, using the well-known Jackson and Bernstein theorems (see, e.g., Butzer and Nessel [1, Corollary 2.2.4; Theorem 2.3.4]) characterizing the rate at which $E_{\nu}(f)$ goes to zero in terms of the order of magnitude of the second modulus $\omega_{2}\left(f^{(k)}, \delta\right)$, defined by

$$
\omega_{2}(f, \delta)=\sup _{'^{\prime} \leqslant \delta}\|f(o+h)+f(o-h)-2 f(o)\|
$$

we find either
(i) $e_{\nu}\left(f^{(k)}\right)=o(1)$ but $E_{\nu}(f) \nu^{k+\epsilon}$ is unbounded for all $\epsilon>0$; or
(ii) there exists $\alpha, 0<\alpha \leqslant 1$, such that $e_{\nu}\left(f^{(k)}\right)=O\left(\nu^{-\alpha}\right)$ but $E_{\nu}(f) \nu^{k+\alpha+\epsilon}$ is unbounded for all $\epsilon>0$. In either case

$$
\left(1 / \nu^{k}\right) e_{\nu}\left(f^{(k)}\right) / E_{\nu}(f)=O\left(\nu^{\epsilon}\right) \quad \text { for all } \quad \epsilon>0
$$

and since $\nu=O\left(E_{\nu}(f)^{-1 / k}\right)$ this implies

$$
\left(1 / \nu^{k}\right) e_{\nu}\left(f^{(k)}\right)=O\left(E_{\nu}(f)^{1-\xi}\right), \quad \text { for all } \quad \epsilon>0
$$

The desired result follows as $e_{\nu}\left(f^{(\kappa)}\right)=O\left(e_{\nu}\left(f^{(k)}\right) / \nu^{k-\kappa}\right)$.
If $f$ has an infinite number of continuous derivatives, and letting $T_{\nu}$ be the best approximation to $f$ from $N_{\nu}$, then for $p=1,2, \ldots$,

$$
\left\|f^{(p)}-T_{\nu}^{(p)}\right\|=O\left(E_{\nu}(f)^{1-\epsilon}\right) \quad \text { for all } \epsilon>0
$$

This follows from a modification of the argument of Platte [5, Theorem 2.3.3]. Briefly fixing $\epsilon, 1>\epsilon>0$, and $p$, write

$$
\begin{aligned}
\left\|f^{(p)}-T_{\nu}^{(p)}\right\| & \leqslant \sum_{n=\nu}^{\infty}\left\|T_{n+1}^{(p)}-T_{n}^{(p)}\right\| \\
& \leqslant 2 \sum_{n=\nu}^{\infty}(n+1)^{p} E_{n} \\
& \leqslant\left\langle 2 \sum_{n=\nu}^{\infty}(n+1)^{p} E_{n}^{\epsilon}\right\rangle E_{\nu}^{1-\epsilon},
\end{aligned}
$$

where the term in angular brackets is bounded since

$$
E_{n}(f)=O\left(1 / n^{i}\right), \quad k=1,2,3, \ldots
$$

This concludes the proof.
We also have the following, showing that we cannot have any inequality of the form

$$
E_{\nu}\left(f, A_{\kappa}\right)=O\left(G(\nu) E_{\nu}(f)\right)
$$

where $G(\nu)$ does not depend on $f$. The proof is an adaptation to the trigonometric case of the argument of Lorentz and Zeller [4].

Lemma 3.2. Given any sequence $\left\{h_{\nu}\right\}_{\nu=1}^{\infty}$ of positive numbers, and a set of interpolatory side conditions $A_{\kappa}(\kappa \geqslant 1)$ including at least one constraint on $f^{(\kappa)}$, there exists $f \in C^{* \kappa}[-\pi, \pi]$ such that

$$
\limsup _{v \rightarrow \infty} E_{\nu}\left(f, A_{\kappa}\right) / h_{\nu} E_{\nu}(f) \geqslant 1
$$

Proof. We assume, without loss of generality, that the constraint on $f^{(k)}$ is at $\theta=0$. If $(\kappa \geqslant 1)$ is odd we take $g_{i}=\sin (i \theta), i=1,2,3, \ldots$; if $\kappa(\geqslant 1)$ is even take $g_{i}=\cos (i \theta)$. Given any $b>0$ we can clearly choose an $N$ such that

$$
\begin{equation*}
\sum_{i=1}^{N} i^{\kappa} / N \geqslant b \tag{3.1}
\end{equation*}
$$

Now with $H=\sum_{i=1}^{N} g_{i} / N$

$$
\begin{equation*}
\left|H^{(\kappa)}(0)\right| \geqslant b, \quad\|H\| \leqslant 1 \tag{3.2}
\end{equation*}
$$

Take

$$
\begin{equation*}
b_{v}=2 \nu^{\kappa}\left(h_{v}+1\right), \quad v=1,2, \ldots \tag{3.3}
\end{equation*}
$$

and $N_{0}=1$. Given $N_{j-1}(j \geqslant 1)$, there exists, according to (3.2), a polynomial, $f_{j}$, such that

$$
\begin{equation*}
\left|f_{i}^{(k)}(0)\right| \geqslant b_{N_{j-1}}, \quad\left\|f_{j}\right\| \leqslant 1 \tag{3.4}
\end{equation*}
$$

We denote the degree of this polynomial by $N_{j}$.
The function $f$ of the theorem will be given by the series

$$
f=\sum_{j=1}^{\infty} c_{j} f_{j}
$$

where the $c_{j}>0$ satisfy

$$
\begin{equation*}
c_{j} \leqslant j^{-2} M_{j}^{-1}, \quad M_{j}=\max \left(\left\|f_{j}\right\|_{, \ldots,}\left\|f_{j}^{(\kappa)}\right\|\right) \tag{3.5}
\end{equation*}
$$

and

$$
\begin{equation*}
\sum_{j=\nu+1}^{\infty} c_{j} \leqslant c_{\nu}\left\|f_{\nu}\right\| \tag{3.6}
\end{equation*}
$$

For instance, we can define the numbers $c_{i}$ inductively by means of the relation

$$
c_{i}=\min \left\{\frac{1}{2} c_{i-1}\left\|f_{i-1}\right\|, \ldots, 2^{(1-i)} c_{1}\left\|, f_{1}\right\|^{-2} i_{i}^{-1}\right\}, \quad i=2,3, \ldots
$$

Note that (3.5) implies $f$ is $\kappa$ times continuously differentiable. Let

$$
F_{\nu}=\sum_{i=1}^{\nu} c_{i} f_{i}
$$

Clearly

$$
E_{N_{v-1}}(f) \leqslant\left\|f-F_{\nu-1}\right\|=\left\|\sum_{i=v}^{\infty} c_{i} f_{i}\right\|, \quad v=2,3, \ldots,
$$

and using (3.6)

$$
\begin{equation*}
E_{N_{v-1}}(f) \leqslant 2 c_{v}\left\|f_{v}\right\| . \tag{3.7}
\end{equation*}
$$

Let $Q$ be any trigonometric polynomial of degree not exceeding $N_{\nu-1}$ such that $Q^{(\kappa)}(0)=f^{(\kappa)}(0)$.

Writing

$$
\|Q-f\| \geqslant\left\|Q-F_{v-1}\right\|-\left\|F_{v-1}-f\right\|
$$

it follows using Bernstein's inequality that

$$
Q-f\left\|\geqslant c_{v}\left|f_{v}^{(\kappa)}(0)\right| / N_{v-1}^{\kappa}-2 c_{v}\right\| f_{v} \|,
$$

and by (3.3), (3.4) that

$$
\|Q-f\| \geqslant 2 c_{v} h_{N_{v-1}}
$$

Since $Q$ was an arbitrary polynomial subject to $Q^{(\kappa)}(0)=f^{(\kappa)}(0)$ it follows that

$$
\begin{equation*}
E_{N_{v-1}}\left(f, A_{\kappa}\right) \geqslant 2 c_{\nu} h_{N_{v-1}} \tag{3.8}
\end{equation*}
$$

(3.7) and (3.8) together imply

$$
E_{N_{v-1}}\left(f, A_{\kappa}\right) / E_{N_{v-1}}(f) \geqslant h_{N_{v-1}}, \quad v=2,3, \ldots
$$

the desired result.

Note added in proof. Further results regarding approximation by algebraic polynomials satisfying Hermite-Birkhoff interpolatory side conditions can be found in R. K. Beatson, "Degree of Approximation Theorems for Approximation with Side Conditions," Dissertation, University of Canterbury, Christchurch, New Zealand, 1978.

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